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**U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**

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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet 1 of 1

Complete if Known	
Application Number	10/036,923
Filing Date	December 21, 2001
First Named Inventor	MATZ, William R., et al.
Group Art Unit	Unassigned- 2163
Examiner Name	Unassigned- U. LE
Attorney Docket Number	36968-267874

## **U.S. PATENT DOCUMENTS**

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## **FOREIGN PATENT DOCUMENTS**

Examiner Signature	U YEN WE	Date Considered	19 AUG 05
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Application Number	10/036,923
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Group Art Unit	2171 2163
Examiner Name	LE, Uyen T.
Attorney Docket Number	BS01424

### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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First Named Inventor	William R. Matz
Group Art Unit	2171 2163
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		Offi ce <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
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↓								

Examiner Signature

UYEN LE

Date Considered

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Attorney Docket Number

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### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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Examiner Signature	UYEN LE	Date Considered	19 AUG 05
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### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

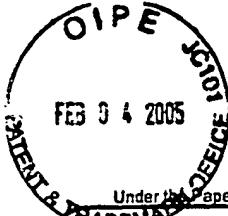
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
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	US- 6,076,094		6/13/2000	Cohen	
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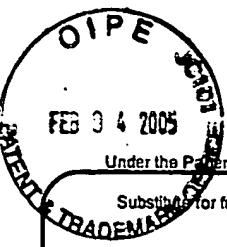
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Examiner Signature	UYEN LE	Date Considered	19 AUG 01
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Substitute for form 1449/PTO

**Complete if Known**

Application Number	10/036,923
Filing Date	December 21, 2001
First Named Inventor	MATZ
Art Unit	2171 2163
Examiner Name	LE, Uyen T.

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of 10

Attorney Docket Number BS01424

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Number-Kind Code <sup>2</sup> ( <i>if known</i> )					
U		US- 6,252,586	6/26/2001	Freeman	
↑		US- 5,661,516	8/1997	Carles	
		US- 5,774,170	6-1998	Hite	
		US- 5,758,257	5-1998	Herz	
		US- 5,410,344	4-1995	Graves	
		US- 5,754,393	5-1998	Herz	
		US- 5,838,314	11-1998	Neel	
		US- 6,029,045	1-2001	Alexander	
		US- 6,463,468	10-2002	Buch	
		US- 6,496,818	12-2002	Ponte	
		US- 6,507,839	1-2003	Ponte	
		US- 6,510,417	1-2003	Woods	
		US- 6,631,360	10-2003	Cook	
		US- 4,602,279	7-1986	Freeman	
		US- 5,446,919	8-1995	Wilkins	
		US- 5,585,865	12-1996	Amano	
		US- 5,758,259	5-1998	Lawler	
		US- 5,861,906	1-1999	Dunn	
✓		US- 5,973,683	10-1999	Cragun	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )						

Examiner Signature

UYEN LE

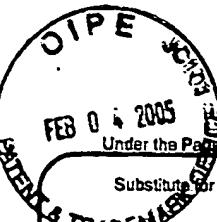
Date Considered

19 AUG 03

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**Complete if Known****INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

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Sheet

of

10

Application Number	10/036,923
Filing Date	December 21, 2001
First Named Inventor	MATZ
Art Unit	2171 R168
Examiner Name	LE, Uyen T.

Attorney Docket Number BS01424

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number <small>Number-Kind Code<sup>2</sup> (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
U		US- 6,005,597	12-1999	Barrett	
		US- 6,314,568	11-2001	Ochiai	
		US- 6,457,010	9-2002	Eldering	
		US- 2002/0143647	10-2002	Headings	
		US- 2002/0199197	12-2002	Winter	
		US- 5,543,911	7-1996	Levitin	
		US- 2003/0067554	4-2003	Klarfield	
		US- 6,202,210	3-2001	Ludtke	
		US- 5,630,119	5/13/1997	Aristides	
		US- 5,917,481	6/29/1999	Rzeszewski	
		US- 5,983,227	11/9/1999	Nazem	
		US- 5,038,211	8/6/1991	Hallenbeck	
		US- 5,559,548	9/24/1996	Davis	
		US- 6,463,585	10/2002	Hendricks	
		US- 6,505,348	1-2003	Knowles	
↓		US- 6,185,614	2/2001	Cuomo	
		US-			
		US-			
		US-			

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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document <small>Country Code<sup>3</sup> Number<sup>4</sup> Kind Code<sup>5</sup> (if known)</small>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>

Examiner Signature	UYEN LE	Date Considered	19 AUG 05
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Sheet 7 of 10

**Complete If Known**

Application Number	10/036,923
Filing Date	December 21, 2001
First Named Inventor	MATZ
Group Art Unit	2171 2168
Examiner Name	LE, Uyen T.
Attorney Docket Number	BS01424

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Kind Code <sup>2</sup> (if known)			
U		US- 3,798,610	Bliss et al.	03-19-1974	
		US- 3,886,302	Kosco	05-27-1975	
		US- 4,130,833	Chomet	12-19-1978	
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		US- 4,361,851	Asip et al.	11-30-1982	
		US- 4,488,179	Kruger et al.	12-11-1984	
		US- 4,566,030	Nickerson et al.	01-21-1986	
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		US- 5,208,665	McCalley et al.	05-04-1993	
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		US- 5,287,181	Hofman	02-15-1994	
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		US- 5,357,276	Banker et al.	10-18-1994	
		US- 5,404,393	Remillard	04-04-1994	
		US- 5,410,326	Goldstein	04-1995	
		US- 5,446,919	Wilkins, Jeff K.	08-1995	
✓		US- 5,446,490	Bialut et al.	08-29-1995	
		US- 5, 500,681	Jones	03-1996	

Examiner Signature	UYEN LE	Date Considered	19 AUG 08
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STATEMENT BY APPLICANT**

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Sheet 8 Of 10

**Complete If Known**

Application Number	10/036,923
Filing Date	December 21, 2001
First Named Inventor	MATZ
Group Art Unit	2171 263
Examiner Name	LE, Uyen T.

Attorney Docket Number BS01424

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
W		US- 5,585,838		Lawler et al.	12-17-1996	
		US- 5,608,448		Smoral et al.	03-04-1997	
		US- 5,619,247 A		Russo	04-1997	
		US- 5,661,516		Carles, John B.	08-1997	
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		US- 5,724,525		Beyers II et al.	03-1998	
		US- 5,752,159		Faust et al.	05-1998	
		US- 5,758,257		Herz et al.	05-1998	
		US- 5,774,170		Hite et al.	06-1998	
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		US- 6,172,674 B1		Etheredge et al.	01-09-2001	
		US- 6,202,210		Ludtke	03-2001	
		US- 6,252,588 B1		Freeman et al.	06-26-2001	
		US- 6,314,577 B1		Pocock	11-06-2001	
		US- 6,446,261 B1		Rosser	09-2002	
		US- 6,463,585		Hendricks et al.	10-2002	
✓		US- 6,530,082 B1		Del Sesto et al.	03-2002	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>6</sub>
		Offic e <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
W			EP 1162840 A2		Wilson et al.	12-2001		

Examiner Signature

U YEN LE

Date Considered

19 AUG 05

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 9 Of 10

### Complete If Known

Application Number	10/036,923
Filing Date	December 21, 2001
First Named Inventor	MATZ
Group Art Unit	2171 2163
Examiner Name	LE, Uyen T.

Attorney Docket Number BS01424

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
UL		PCT Publication No. WO 94/17609 (Kleff et al., 08-04-1994)	
		CAULEY, LESLIE, "Microsoft, Baby Bell Form Video Alliance," <u>The Wall Street Journal</u> , September 26, 1994.	
		U.S. Application No. 2002/0032906 A1 (Grossman, 03-2002) ✓	
		U.S. Application No. 2002/0035600 A1 (Ullman et al., 03-2002) ✓	
		U.S. Application No. 2002/0092019 A1 (Dwight, 07-11-2002) ✓	
		U.S. Application No. 2002/0123928 A1 (Eldering et al., 09-2002) ✓	
✓		U.S. Application No. 2002/0143647 A1 (Headings et al., 10-2002) ✓	
		typo? U.S. Application No. 2003/0049967 A1 (Kinzo et al., 03-13-2003)	
UL		U.S. Application No. 2003/0110497 A1 (Yassin et al., 06-2003) ✓	
		International Search Report for PCT /US02/40070 A1	

Examiner Signature	UYEN LE	Date Considered	19 AUG 05
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 10 of 10

### Complete if Known

Application Number	10/036,923
Filing Date	December 21, 2001
First Named Inventor	MATZ
Group Art Unit	2171 2163
Examiner Name	LE, Uyen T.
Attorney Docket Number	BS01424

### NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
U		"allNetDevices: - Report: Interactive TV Soon to Become Direct Marketing Tool," allNetDevices, <a href="http://www.devices.internet.com/com_cgi/print/print.cgi?urf=http://devices.../report_interactive.htm">www.devices.internet.com/com_cgi/print/print.cgi?urf=http://devices.../report_interactive.htm</a> , (11/16/01) pp. 1-2.	
		"Liberate Technologies – Solutions," Digital Cable Two-Way, <a href="http://www.solutions.liberate.com/architecture/dc2.html">www.solutions.liberate.com/architecture/dc2.html</a> , (11/16/01) p. 1 of 1.	
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		"power, flexibility, an control," Respond TV. <a href="http://www.respondtv.com/whyrespond.html">www.respondtv.com/whyrespond.html</a> (11/16/01) pp. 1-2.	
		"it just clicks!" RespondTV, <a href="http://www.respondtv.com/inaction.html">www.respondtv.com/inaction.html</a> , (11/16/01) pp. 1-2.	
		"The Wink System," Wink System Diagram, <a href="http://www.wink.com/contents/tech_diagram.shtml">www.wink.com/contents/tech_diagram.shtml</a> , (11/16/01) p. 1 of 1.	
		"What is Wink?," <a href="http://www.wink.com/contents/whatiswink.shtml">www.wink.com/contents/whatiswink.shtml</a> , (11/16/01) p. 1 of 1.	
		"How Wink Works," What is Wink: How wink works, <a href="http://www.wink.com/contents./howitworks.shtml">www.wink.com/contents./howitworks.shtml</a> , (11/16/01) p. 1 of 1.	
		"What is Wink: Examples," What is Wink – Examples, <a href="http://www.wink.com/contents/examples.shtml">www.wink.com/contents/examples.shtml</a> , (11/16/01) pp. 1-2.	
✓		"Nielsen Media Research – Who We Are & What We Do," <a href="http://www.nielsenmedia.com/whowearare.html">www.nielsenmedia.com/whowearare.html</a> , (10/11/01) pp. 1-4.	

Examiner Signature	UYEN LE	Date Considered	15 Aug 05
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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